

Hierarchical radiative masses in a supersymmetric three-family model without higgsinos

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Abstract. We study the scalar potential and the mass spectrum of the supersymmetric extension of a three-family model based on the local gauge group $SU(3)_C \otimes SU(3)_L \otimes U(1)_X$, with anomalies canceled among the three families in a non-trivial fashion. In this model the slepton multiplets play the role of the Higgs scalars and no higgsinos are required, with the consequence that the sneutrino, the selectron and six other sleptons play the role of the Goldstone bosons of the theory. By introducing an Abelian anomaly-free discrete symmetry and aligning the vacuum in a convenient way, we get a consistent mass spectrum for the scalars and for the spin 1/2 quarks and charged leptons, where only the top and charm quarks and the tau lepton acquire tree-level masses while the remaining ordinary charged fermions acquire radiative hierarchical masses.

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1 Introduction

Among the unsolved questions of the standard model (SM), which is a theory based on the local gauge group $SU(3)_C \otimes SU(2)_L \otimes U(1)_Y$ [1], the elucidation of the nature of the electroweak symmetry breaking remains one of the most challenging issues. If the electroweak symmetry is spontaneously broken by Higgs scalars, the determination of the value of the Higgs mass M_H in the context of the SM becomes a key ingredient. By direct search LEP-II has set an experimental lower bound for a neutral scalar, member of a pure $SU(2)_L$ doublet, of 114.4 GeV [2].

Today, supersymmetry (SUSY) is considered as the leading candidate for new physics. Even though SUSY does not solve all open questions, it has attractive features, the most important one being that it protects the electroweak scale from destabilizing divergences, that is, SUSY provides an answer as to why the scalars remain massless down to the electroweak scale where there is no symmetry protecting them (the “hierarchy problem”). This has motivated the construction of the minimal supersymmetric standard model (MSSM) [3], the supersymmetric extension of the SM, that is defined by the minimal field content and minimal superpotential necessary to account for the known Yukawa mass terms of the SM. At present, however, there is no experimental evidence that Nature is supersymmetric, and the only experimental fact that points toward a beyond the SM structure lies in the

neutrino sector, and even there the results are not final yet. So, a reasonable approach is to depart from the SM as little as possible, allowing for some room for neutrino oscillations [4].

In that direction, over the last decade several variants of the SM extension based on the local gauge group $SU(3)_C \otimes SU(3)_L \otimes U(1)_X$ (hereafter called the 3-3-1 structure), where anomalies cancel by an interplay between the three families, have received special attention. In some of them the three known left-handed lepton components for each family are associated to three $SU(3)_L$ triplets as $(\nu_i, l^-, l^+)_L^T$, where l_L^+ is related to the right-handed isospin singlet of the charged lepton l_L^- in the SM [5]. In other models the three $SU(3)_L$ lepton triplets are of the form $(\nu_i, l^-, \nu_i^c)_L^T$ where ν_{iL}^c is related to the right-handed component of the neutrino field ν_{iL} [6, 7]. There are also models in the literature with $SU(3)_L$ lepton triplets of the form $(l^-, \nu_i, L^-)_L^T$, where L_L^- is an exotic charged lepton with electric charge -1 [7, 8]. In the first model anomaly cancellation requires quarks with exotic electric charges $-4/3$ and $5/3$ which in turn imply double charged gauge and Higgs bosons, while in the other models the exotic particles have only ordinary electric charges.

As it is shown in [7], there are just a few different non-supersymmetric three-family models based on the 3-3-1 local gauge structure which are free of chiral anomalies and do not include particles with exotic electric charges. These models share in common not only the same gauge boson sector, but also the same scalar sector. In this paper

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we are going to construct a consistent SUSY extension of a three-family model which is the simplest one with regard to the three-family 3-3-1 models introduced in [7].

Our main motivation lies in the 3-3-1 SUSY one-family model presented in [9], in which the left-handed lepton triplets and the Higgs scalars needed to break the symmetry down to $SU(3)_c \otimes U(1)_Q$, have the same quantum numbers under the gauge group, and may play the role of the superpartners of each other. As a result, in the one-family model several consequences follow [9]: first, the reduction of the number of free parameters as compared to supersymmetric versions of other 3-3-1 models in the literature [10]; second, the result that the sneutrino, selectron and six other sleptons do not acquire masses in the context of the model constructed playing the role of the Goldstone bosons; third, the absence of the μ problem, in the sense that the μ term is absent at the tree level, arising only as a result of the symmetry breaking, and fourth, the existence of light CP -odd scalars which may have escaped experimental detection.

Our aim in this paper is to explore the possibility to construct a realistic three-family 3-3-1 SUSY model as far as the particle mass spectrum is concerned; the price we have to pay is an alignment of the vacuum state and the introduction of a discrete symmetry, as we will show in due course. This paper is organized as follows: in Sect. 2 we briefly review the non-supersymmetric version of the model, in Sect. 3 we comment on its supersymmetric extension and calculate the superpotential, in Sect. 4 we calculate the mass spectrum (excluding the squark sector), and in the last section we present our conclusions.

2 The non-supersymmetric model

The model we are going to supersymmetrize is based on the local gauge group $SU(3)_c \otimes SU(3)_L \otimes U(1)_X$. It has 17 gauge bosons: one gauge field B^μ associated with $U(1)_X$, the eight gluon fields G^μ associated with $SU(3)_c$ which remain massless after breaking the symmetry, and another eight gauge fields associated with $SU(3)_L$ and that we write for convenience as [7]

$$\frac{1}{2} \lambda_\alpha A_\alpha^\mu = \frac{1}{\sqrt{2}} \begin{pmatrix} D_1^\mu & W^{+\mu} & K^{+\mu} \\ W^{-\mu} & D_2^\mu & K^{0\mu} \\ K^{-\mu} & \bar{K}^{0\mu} & D_3^\mu \end{pmatrix},$$

where $D_1^\mu = A_3^\mu/\sqrt{2} + A_8^\mu/\sqrt{6}$, $D_2^\mu = -A_3^\mu/\sqrt{2} + A_8^\mu/\sqrt{6}$, and $D_3^\mu = -2A_8^\mu/\sqrt{6}$. The λ_i , $i = 1, 2, \dots, 8$, are the eight Gell-Mann matrices normalized as $\text{Tr}(\lambda_i \lambda_j) = 2\delta_{ij}$.

The charge operator associated with the unbroken gauge symmetry $U(1)_Q$ is given by

$$Q = T_{3L} + \frac{T_{8L}}{\sqrt{3}} + X I_3, \quad (1)$$

where $T_{iL} = \lambda_{iL}/2$, $I_3 = \text{Diag}(1, 1, 1)$ is the diagonal 3×3 unit matrix, and the X values are related to the $U(1)_X$ hypercharge and are fixed by anomaly cancellation. Equation (1) is a particular case of the most general expresion

for the electric charge generator in $SU(3)_c \otimes SU(3)_L \otimes U(1)_X$ given by $Q = aT_{3L}/2 + 2bT_{8L}/\sqrt{3} + X I_3$, where a and b are free parameters, and corresponds to the choice $a = 1$ (in order for the weak isospin to be contained in $SU(3)_L$) and $b = 1/2$ (so that the model does not contain exotic electric charges) [7].

The sine of the electroweak mixing angle is given by $S_W^2 = 3g_1^2/(3g_3^2 + 4g_1^2)$ where g_1 and g_3 are the coupling constants of $U(1)_X$ and $SU(3)_L$ respectively, and the photon field is given by

$$A_0^\mu = S_W A_3^\mu + C_W \left[\frac{T_W}{\sqrt{3}} A_8^\mu + \sqrt{(1 - T_W^2/3)} B^\mu \right], \quad (2)$$

where C_W and T_W are the cosine and tangent of the electroweak mixing angle, respectively.

There are two neutral currents in the model which are defined as

$$\begin{aligned} Z_0^\mu &= C_W A_3^\mu - S_W \left[\frac{T_W}{\sqrt{3}} A_8^\mu + \sqrt{(1 - T_W^2/3)} B^\mu \right], \\ Z_0^{\prime\mu} &= -\sqrt{(1 - T_W^2/3)} A_8^\mu + \frac{T_W}{\sqrt{3}} B^\mu, \end{aligned} \quad (3)$$

where Z_0^μ coincides with the weak neutral current of the SM. Using (2) and (3) we may read the gauge boson Y^μ associated with the $U(1)_Y$ hypercharge in the SM $Y^\mu = \frac{T_W}{\sqrt{3}} A_8^\mu + \sqrt{(1 - T_W^2/3)} B^\mu$.

The quark content for the three families is the following [7]: $Q_{iL} = (u_i, d_i, D_i)_L^T \sim (3, 3, 0)$, $i = 2, 3$, for two families, where D_{iL} are two exotic quarks of electric charge $-1/3$ (the numbers inside the parentheses stand for the $[SU(3)_c, SU(3)_L, U(1)_X]$ quantum numbers in that order); $Q_{1L} = (d_1, u_1, U)_L^T \sim (3, 3^*, 1/3)$, where U_L is an exotic quark of electric charge $2/3$. The right-handed quarks are $u_{aL}^c \sim (3^*, 1, -2/3)$, $d_{aL}^c \sim (3^*, 1, 1/3)$, with $a = 1, 2, 3$, a family index, $D_{iL}^c \sim (3^*, 1, 1/3)$, and $U_L^c \sim (3^*, 1, -2/3)$.

The lepton content is given by the three anti-triplets $L_{\alpha L} = (\alpha^-, \nu_\alpha^0, N_\alpha^0)_L^T \sim (1, 3^*, -1/3)$, the three singlets $\alpha_L^+ \sim (1, 1, 1)$, $\alpha = e, \mu, \tau$, and the vector-like structure (vector-like with respect to the 3-3-1 gauge group) $L_{4L} = (N_4^0, E_4^+, E_5^+)_L^T \sim (1, 3^*, 2/3)$, and $L_{5L} = (N_5^0, E_4^-, E_5^-)_L^T \sim (1, 3, -2/3)$; where N_s^0 , $s = e, \mu, \tau, 4, 5$, are five neutral Weyl states, and $E_\eta^-, \eta = 4, 5$ are two exotic electrons.

With the given quantum numbers it is just a matter of counting to check that the model is free of the following chiral anomalies: $[SU(3)_c]^3$ ($SU(3)_c$ is vector-like); $[SU(3)_L]^3$ (seven triplets and seven anti-triplets), $[SU(3)_c]^2 U(1)_X$; $[SU(3)_L]^2 U(1)_X$; $[\text{grav}]^2 U(1)_X$ (the so-called gravitational anomaly [11]) and $[U(1)_X]^3$.

For this model the minimal scalar sector, able both to break the symmetry and to give, at the same time, masses to the fermion fields, is given by [12] $\chi_1^T = (\chi_1^-, \chi_1^0, \chi_1^0) \sim (1, 3^*, -1/3)$, and $\chi_2^T = (\chi_2^0, \chi_2^+, \chi_2^+) \sim (1, 3^*, 2/3)$, with vacuum expectation values (VEV) given by $\langle \chi_1 \rangle^T = (0, v_1, V)$ and $\langle \chi_2 \rangle^T = (v_2, 0, 0)$, with the hierarchy $V \gg v_1, v_2$. These VEV break the symmetry $SU(3)_c \otimes SU(3)_L \otimes U(1)_Y \rightarrow SU(3)_c \otimes U(1)_Q$ in one

single step and so, the SM can not be considered as an effective theory of this particular 3-3-1 gauge structure.

This model, even though sketched in [7,12] where it was named Model E, has not been studied in the literature as far as we know. (A related model without the vector-like structure $L_{4L} \oplus L_{5L}$ and with a scalar sector of three triplets instead of two, has been partially analyzed in [6].)

Notice that in the non-supersymmetric model, universality for the known leptons in the three families is present at the tree level in the weak basis, up to mixing with the exotic fields. Since the mass scale of the new neutral gauge boson Z' and of the exotic particles is of the order of V , this mixing will suppress tree-level flavor changing neutral currents (FCNC) effects in the lepton sector. For the quarks, instead, one family transforms differently from the other two and, as a result, there can be potentially large FCNC in the hadronic sector. Since it is not our goal to discuss this issue here, the reader is referred to the recent study presented in [13]. Let us, notwithstanding, point out that the present model is associated to the one called Model B in [13], for which the constraints imposed by flavor changing phenomenology in the quark sector are not so severe as for other 3-3-1 models.

3 The supersymmetric extension

When supersymmetry is introduced in the SM, the entire spectrum of particles is doubled as we must introduce the superpartners of the known fields. Also, two scalar doublets ϕ_u and ϕ_d must be used in order to cancel the triangle anomalies; then the superfields $\hat{\phi}_u$ and $\hat{\phi}_d$, related to the two scalars, may couple via a term of the form $\mu \hat{\phi}_u \hat{\phi}_d$ which is gauge and supersymmetric invariant, and thus the natural value for μ is expected to be much larger than the electroweak and supersymmetry breaking scales. This is the so-called μ problem.

However, in a non-supersymmetric model as the one presented in the former section, in which the Higgs fields transform as some of the lepton fields under the symmetry group, the SUSY extension can be constructed with the scalar and the lepton fields acting as superpartners of each other, ending up with a SUSY model without higgsinos [9], which is automatically free of chiral anomalies.

For three families we thus have the following chiral superfields: \hat{Q}_a , \hat{u}_a , \hat{d}_a , \hat{D}_i , \hat{U} , \hat{L}_a , \hat{e}_a , and \hat{L}_η , plus gauge bosons and gauginos, where $a = 1, 2, 3$ is a family index, $i = 1, 2$, and $\eta = 4, 5$. The identification of the gauge bosons eigenstates in the SUSY extension follows the non-SUSY version, as it will be shown in Sect. 4.

3.1 The superpotential

Let us now write the most general $SU(3)_c \otimes SU(3)_L \otimes U(1)_X$ invariant superpotential for the model

$$W = h_{ia}^u \hat{Q}_i \hat{u}_a \hat{L}_4 + h_i^U \hat{Q}_i \hat{U} \hat{L}_4 + h_{iab}^d \hat{Q}_i \hat{d}_a \hat{L}_b + h_{ija}^D \hat{Q}_i \hat{D}_j \hat{L}_a + h_a^{dU} \hat{Q}_1 \hat{d}_a \hat{L}_5 + h_i^{UD} \hat{Q}_1 \hat{D}_i \hat{L}_5$$

$$+ h_{ab}^e \hat{L}_a \hat{e}_b \hat{L}_5 + \frac{1}{2} \lambda_{ab} \hat{L}_a \hat{L}_b \hat{L}_4 + \mu \hat{L}_4 \hat{L}_5 + \lambda_{abi}^{(1)} \hat{u}_a \hat{d}_b \hat{D}_i + \lambda_{ai}^{(2)} \hat{U} \hat{d}_a \hat{D}_i + \lambda_{ijk}^{(3)} \hat{Q}_i \hat{Q}_j \hat{Q}_k + \lambda_{abc}^{(4)} \hat{u}_a \hat{d}_b \hat{d}_c + \lambda_{aij}^{(5)} \hat{u}_a \hat{D}_i \hat{D}_j + \lambda_{ab}^{(6)} \hat{U} \hat{d}_a \hat{d}_b + \lambda_{ij}^{(7)} \hat{U} \hat{D}_i \hat{D}_j, \quad (4)$$

where summation over repeated indexes is understood, and the chirality, color and isospin indexes have been omitted.

The $\hat{u}\hat{d}\hat{D}$, $\hat{U}\hat{d}\hat{D}$, $\hat{U}\hat{d}\hat{d}$, $\hat{U}\hat{D}\hat{D}$, $\hat{u}\hat{d}\hat{d}$, $\hat{u}\hat{D}\hat{D}$, and $\hat{Q}\hat{Q}\hat{Q}$ terms violate baryon number and can lead to rapid proton decay. We may forbid these interactions by introducing an anomaly free discrete Z_2 symmetry [14] with the following assignments of Z_2 charge q :

$$q(\hat{Q}_a, \hat{u}_a, \hat{U}, \hat{D}_i, \hat{d}_a, \hat{\mu}, \hat{L}_\mu) = 1, \\ q(\hat{L}_e, \hat{L}_\tau, \hat{e}, \hat{\tau}, \hat{L}_4, \hat{L}_5) = 0, \quad (5)$$

where we have used $\hat{e}_1 \equiv \hat{e}$, $\hat{e}_2 \equiv \hat{\mu}$, $\hat{e}_3 \equiv \hat{\tau}$, $\hat{L}_1 \equiv \hat{L}_e$, $\hat{L}_2 \equiv \hat{L}_\mu$, and $\hat{L}_3 \equiv \hat{L}_\tau$. This is just one among several anomaly-free discrete symmetries available. This symmetry protects the model from a too fast proton decay, but the superpotential still contains operators inducing lepton number violation, which is desirable if we want to describe Majorana masses for the neutrinos in the model.

The Z_2 symmetry also forbids some undesirable mass terms for the spin 1/2 fermions which complicate unnecessarily several mass matrices. But notice the presence of a μ term in the superpotential that, as we will show in a moment is convenient to keep, in order to have a consistent mass spectrum. So, contrary to the model in [9], this model has a μ term coming from the existence of the vector-like structure $\hat{L}_{4L} \oplus \hat{L}_{5L}$.

3.2 The scalar potential

The scalar potential is written as

$$V_{\text{SP}} = V_F + V_D + V_{\text{soft}}, \quad (6)$$

where the first two terms come from the exact SUSY sector, while the last one is the sector of the theory that breaks SUSY explicitly.

We now display V_F in (6), before implementing the discrete Z_2 symmetry:

$$V_F = \sum_{a=1}^3 \left| \frac{\partial W}{\partial \tilde{L}_a} \right|^2 + \sum_{\eta=4}^5 \left| \frac{\partial W}{\partial \tilde{L}_\eta} \right|^2 \quad (7) \\ = (\lambda^\dagger \lambda)_{ab} \left\{ (\tilde{L}_a^\dagger \tilde{L}_b) |\tilde{L}_4|^2 - (\tilde{L}_a^\dagger \tilde{L}_4) (\tilde{L}_4^\dagger \tilde{L}_b) \right\} \\ + \tilde{e}_a^\dagger H_{ab}^e \tilde{e}_b |\tilde{L}_5|^2 + (\tilde{L}_a^\dagger \tilde{L}_5) (h^e h^{e\dagger})_{ab} \tilde{L}_b^\dagger \tilde{L}_5 \\ + \left\{ (\lambda^\dagger h^e)_{ab} \tilde{L}_a^* \cdot (\tilde{L}_a^* \times \tilde{L}_5) \tilde{e}_b + \text{c.c.} \right\} \\ + |\mu|^2 \left(|\tilde{L}_4|^2 + |\tilde{L}_5|^2 \right) + \left\{ \mu^* h_{ab}^e (\tilde{L}_4^\dagger \tilde{L}_a) \tilde{e}_b + \text{c.c.} \right\} \\ + \frac{1}{4} \lambda_{ab} \lambda_{cd}^* \left\{ (\tilde{L}_c^\dagger \tilde{L}_a) (\tilde{L}_d^\dagger \tilde{L}_b) - (\tilde{L}_d^\dagger \tilde{L}_a) (\tilde{L}_c^\dagger \tilde{L}_b) \right\}$$

$$\begin{aligned}
 &+ h_{ab}^e h_{cd}^{e*} (\tilde{L}_c^\dagger \tilde{L}_a) \tilde{e}_b \tilde{e}_d^* \\
 &+ \left\{ \frac{1}{2} \mu^* \lambda_{ab} \tilde{L}_a \cdot (\tilde{L}_b \times \tilde{L}_5^*) + \text{c.c.} \right\}, \quad (8)
 \end{aligned}$$

where $H^e = (h^{e\dagger} h^e)$ is an hermitian 3×3 matrix, and $\tilde{L}_a \cdot (\tilde{L}_b \times \tilde{L}_c)$ is a triple scalar product in the tridimensional linear representation of $SU(3)_L$.

When the Z_2 symmetry is introduced V_F gets reduced to the expression

$$\begin{aligned}
 V_F = & \left| \lambda \tilde{L}_3 \times \tilde{L}_4 + h_{11}^e \tilde{e} \tilde{L}_5 + h_{13}^e \tilde{\tau} \tilde{L}_5 \right|^2 \\
 & + \left| -\lambda \tilde{L}_1 \times \tilde{L}_4 + h_{33}^e \tilde{\tau} \tilde{L}_5 + h_{31}^e \tilde{e} \tilde{L}_5 \right|^2 \\
 & + \left| \lambda \tilde{L}_1 \times \tilde{L}_3 + \mu \tilde{L}_5 \right|^2 \\
 & + |h_{22}^e|^2 |\tilde{L}_5|^2 |\tilde{\mu}|^2 + |h_{22}^e|^2 |\tilde{L}_2^T \tilde{L}_5|^2 \\
 & + \left| h_{11}^e \tilde{L}_1^T \tilde{L}_5 + h_{31}^e \tilde{L}_3^T \tilde{L}_5 \right|^2 \\
 & + \left| h_{13}^e \tilde{L}_1^T \tilde{L}_5 + h_{33}^e \tilde{L}_3^T \tilde{L}_5 \right|^2 \\
 & + |\mu \tilde{L}_4 + h_{22}^e \tilde{\mu} \tilde{L}_2 + (h_{11}^e \tilde{e} + h_{13}^e \tilde{\tau}) \tilde{L}_1 \\
 & \quad + (h_{31}^e \tilde{e} + h_{33}^e \tilde{\tau}) \tilde{L}_3|^2, \quad (9)
 \end{aligned}$$

where $\lambda = \lambda_{13} = -\lambda_{31} \equiv \lambda_{e\tau}$ is the only λ_{ab} parameter which survives. This form of V_F is crucial for the analysis that follows.

For the second term in V_{SP} we have

$$\begin{aligned}
 V_D = & \frac{1}{2} D^\alpha D^\alpha + \frac{1}{2} D^2 \\
 = & \frac{1}{4} g_3^2 \left\{ \sum_{\alpha, \beta}^4 \left(|\tilde{L}_\alpha^\dagger \tilde{L}_\beta|^2 - \frac{1}{3} |\tilde{L}_\alpha|^2 |\tilde{L}_\beta|^2 \right) \right. \\
 & \left. + \sum_\alpha^4 \left(2 |\tilde{L}_\alpha^\dagger \tilde{L}_5|^2 - \frac{2}{3} |\tilde{L}_\alpha|^2 |\tilde{L}_5|^2 \right) + \frac{2}{3} |\tilde{L}_5|^4 \right\} \\
 & + \frac{1}{18} g_1^2 \left\{ \left(\sum_a^3 |\tilde{L}_a|^2 \right)^2 + 4 |\tilde{L}_4|^4 + 4 |\tilde{L}_5|^4 \right. \\
 & - 8 |\tilde{L}_4|^2 |\tilde{L}_5|^2 + 4 \sum_a^3 |\tilde{L}_a|^2 |\tilde{L}_5|^2 \\
 & \left. - 4 \sum_a^3 |\tilde{L}_a|^2 |\tilde{L}_4|^2 \right\}. \quad (10)
 \end{aligned}$$

The soft SUSY-breaking scalar potential is

$$\begin{aligned}
 V_{\text{soft}} = & m_{ab}^2 \text{Re}(\tilde{L}_a^\dagger \tilde{L}_b) + m_4^2 |\tilde{L}_4|^2 + m_5^2 |\tilde{L}_5|^2 \\
 & + m_{45}^2 \text{Re}(\tilde{L}_4^T \tilde{L}_5) + \text{Re}(h_{ab}^{e'} \tilde{L}_a^T \tilde{L}_5 \tilde{e}_b) \\
 & + \frac{\epsilon^{ijk}}{2} \text{Re}(\lambda'_{ab} \tilde{L}_{ai} \tilde{L}_{bj} \tilde{L}_{4k}) \\
 & + \frac{M_1}{2} \tilde{B}^0 \tilde{B}^0 + \frac{M_2}{2} \sum_{a=1}^8 \tilde{A}_a \tilde{A}^a + \dots, \quad (11)
 \end{aligned}$$

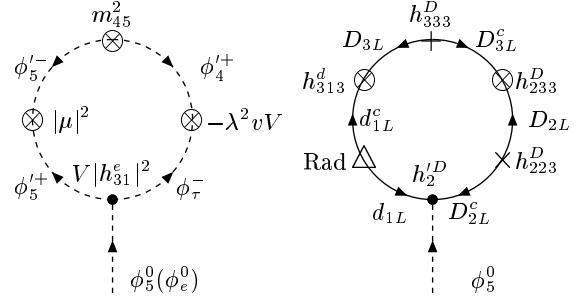


Fig. 1. Radiatively induced VEV for ϕ_5^0 and ϕ_e^0

where M_1 is the soft mass parameter of the $U(1)_X$ gaugino and M_2 refers to the soft mass parameter of the $SU(3)_L$ gauginos.

3.3 The vacuum

In this model we do not introduce Higgs scalars as it is done for example in the MSSM. The duty of the spontaneous symmetry breaking is assigned to the neutral sleptons which are present in the chiral supermultiplets \hat{L}_α and \hat{L}_η for $\alpha = e, \mu, \tau = 1, 2, 3$ and $\eta = 4, 5$.

To use the most general VEV structure available, even when properly rotated, is a hopeless task. What we propose here is to align the vacuum in the following way: $\langle \phi_e \rangle = \langle (\phi_e^-, \phi_e^0, \phi_e^0) \rangle = (0, 0, 0)$; $\langle \phi_\mu \rangle = \langle (\phi_\mu^-, \phi_\mu^0, \phi_\mu^0) \rangle = (0, 0, 0)$; $\langle \phi_\tau \rangle = \langle (\phi_\tau^-, \phi_\tau^0, \phi_\tau^0) \rangle = (0, 0, V)$; $\langle \phi_4 \rangle = \langle (\phi_4^0, \phi_4^+, \phi_4^+) \rangle = (v, 0, 0)$ and $\langle \phi_5 \rangle = \langle (\phi_5^0, \phi_5^-, \phi_5^-) \rangle = (0, 0, 0)$, where we have introduced the notation $\phi_\alpha \equiv \tilde{L}_\alpha$ for the superpartners of L_α , and $\phi_\eta \equiv \tilde{L}_\eta$ for the superpartners of L_η . We also will use $\phi_e^{\prime+}, \phi_\mu^{\prime+}, \phi_\tau^{\prime+}$ for the scalar superpartners of the singlets e_L^+, μ_L^+, τ_L^+ , respectively. In what follows we are going to show that for $V \gg v \sim 174 \text{ GeV}$ (the electroweak breaking scale), this alignment is enough to reproduce a consistent mass spectrum.

The former VEV structure allows us to break the symmetry in the following way:

$$\begin{aligned}
 3\text{-}3\text{-}1 & \xrightarrow{V} SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \\
 & \xrightarrow{v} SU(3)_c \otimes U(1)_Q, \quad (12)
 \end{aligned}$$

which in turn allows for the matching conditions $g_2 = g_3$ and

$$\frac{1}{g^2} = \frac{1}{g_1^2} + \frac{1}{3g_3^2}, \quad (13)$$

where g_2 and g_1' are the gauge coupling constants for the gauge groups $SU(2)_L$ and $U(1)_Y$ of the SM, respectively.

A further study of the superpotential in (4) and the scalar potential V_F in (9) shows that even if $\langle \phi_5^0 \rangle = \langle \phi_e^0 \rangle = 0$ at the tree level, they both develop a radiatively induced VEV different from zero, as it is shown in Fig. 1. In particular, the induced VEV for ϕ_5^0 allows for small masses for some spin 1/2 particles as, for example, for the down quark d and for the electron e and muon μ , as we will see.

4 Mass spectrum

Masses for the particles are generated from the VEV of the scalar fields and from the soft terms in the scalar potential.

For simplicity we assume that the VEV are real, which means that spontaneous CP violation through the scalar exchange is not considered. Now, for convenience in reading we rewrite the expansion of the scalar fields acquiring VEV as

$$\begin{aligned}\phi_{\tau}^{\prime 0} &= V + \frac{\phi_{\tau R}^{\prime 0} + i\phi_{\tau I}^{\prime 0}}{\sqrt{2}}, \\ \phi_4^0 &= v + \frac{\phi_{4R}^0 + i\phi_{4I}^0}{\sqrt{2}},\end{aligned}\quad (14)$$

where the subindexes R and I refer, respectively, to the real sector (CP -even scalars) and to the imaginary sector (CP -odd scalars or pseudoscalars) of the sleptons.

Using (14), the minimization of the scalar potential produces the following constraints:

$$\begin{aligned}|\lambda|^2 - \frac{m_{33}^2}{v^2} &= \frac{g_3^2}{6} \left(2 \frac{V^2}{v^2} - 1 \right) + \frac{g_1^2}{9} \left(\frac{V^2}{v^2} - 2 \right) + \lambda'_{13} \frac{\langle \phi_e^0 \rangle}{2vV}, \\ |\mu|^2 + m_4^2 &= V^2 |\lambda|^2 + \left(\frac{g_3^2}{6} + \frac{2g_1^2}{9} \right) (V^2 - 2v^2) + \lambda'_{13} \frac{\langle \phi_e^0 \rangle V}{2v}, \\ m_{45}^2 &= \frac{\langle \phi_5^0 \rangle}{v} \left\{ (V^2 - 2v^2) \left(\frac{g_3^2}{3} - \frac{4g_1^2}{9} \right) - 2|\mu|^2 - 2m_5^2 \right\}, \\ \frac{vV}{2} \lambda'_{13} &= \langle \phi_e^0 \rangle \left\{ \frac{g_3^2}{6} (V^2 + v^2) - \frac{g_1^2}{9} (V^2 - 2v^2) - m_{11}^2 \right\}, \\ m_{13}^2 &= 0,\end{aligned}\quad (15)$$

where we have included, at the first order in $\langle \phi_e^0 \rangle$ and $\langle \phi_5^0 \rangle$, the radiative corrections coming from the induced VEV shown in Fig. 1. Notice that by choosing $m_{33}^2 < 0$ and of the order of V^2 , the parameter λ can be as small as desired.

Our approach will be to look for consistency in the sense that the mass spectrum must include three light spin 1/2 neutral particles (the neutrinos) with the other spin 1/2 neutral fields having masses larger than or equal to half of the Z^0 mass, to be in agreement with experimental bounds. The consistency of the model also requires eight spin zero Goldstone bosons, four charged and four neutral ones, out of which one neutral must be related to the real sector of the sleptons (CP -even) and three neutrals to the imaginary sector (CP -odd), in order to produce masses for the gauge bosons W^\pm , K^\pm , K^0 , \bar{K}^0 , Z^0 and Z'^0 after the breaking of the symmetry.

4.1 Spectrum in the gauge boson sector

For the SUSY version of the model the gauge bosons are the same 17 gauge bosons for the non-supersymmetric ver-

sion. After breaking the symmetry with $\langle \phi_\tau \rangle + \langle \phi_4 \rangle$ and using for the covariant derivative for triplets $iD^\mu = i\partial^\mu - (g_3/2)\lambda_\alpha A_\alpha^\mu - g_1 X B^\mu$, we get the following mass terms for the charged gauge bosons: $M_{W^\pm}^2 = (g_3^2/2)v^2$ as in the SM, $M_{K^\pm}^2 = (g_3^2/2)(v^2 + V^2)$, and $M_{K^0(\bar{K}^0)}^2 = (g_3^2/2)V^2$. Since W^\pm does not mix with K^\pm , and $g_2 = g_3$, we have that $v \approx 174$ GeV as in the SM.

For the neutral gauge bosons we get mass terms of the form

$$\begin{aligned}M_n &= \frac{g_3^2}{2} \left\{ V^2 \left(\frac{2g_1 B^\mu}{3g_3} - \frac{2A_8^\mu}{\sqrt{3}} \right)^2 \right. \\ &\quad \left. + v^2 \left(A_3^\mu + \frac{A_8^\mu}{\sqrt{3}} - \frac{4g_1 B^\mu}{3g_3} \right)^2 \right\}.\end{aligned}$$

This expression is related to a 3×3 mass matrix with a zero eigenvalue corresponding to the photon A_0^μ given by (2). Once the photon field has been identified, we remain with a 2×2 mass matrix for the two neutral gauge bosons Z_0^μ and $Z_0^{\prime\mu}$ defined in (3).

The physical neutral gauge bosons are defined through the mixing angle θ between Z_0^μ and $Z_0^{\prime\mu}$:

$$\begin{aligned}Z_1^\mu &= Z_0^\mu \cos \theta + Z_0^{\prime\mu} \sin \theta, \\ Z_2^\mu &= -Z_0^\mu \sin \theta + Z_0^{\prime\mu} \cos \theta,\end{aligned}\quad (16)$$

where

$$\tan(2\theta) = -\frac{v^2 \sqrt{3 - 4S_W^2}}{2V^2 C_W^4 - v^2 (2S_W^2 - 1)},\quad (17)$$

with $\theta \rightarrow 0$ in the limit $V \rightarrow \infty$.

By using experimental results from the CERN LEP, SLAC Linear Collider and atomic parity violation data, bounds on the mass scale V of the new gauge bosons and on the mixing angle θ have been calculated in [9, 12, 15]. Generically, $V \geq 1$ TeV and $\theta \leq 10^{-3}$.

4.2 Masses for the quark sector

4.2.1 Tree-level masses

For the up quark sector the first two terms in the superpotential in (4) produce, when we take $\langle \bar{L}_4 \rangle = (v, 0, 0)$, the following tree-level mass terms:

$$\begin{aligned}\mathcal{L}_Y^u &= v(h_{21}^u u_{2L} u_{1L}^c + h_{22}^u u_{2L} u_{2L}^c + h_{23}^u u_{2L} u_{3L}^c \\ &\quad + h_{31}^u u_{3L} u_{1L}^c + h_{32}^u u_{3L} u_{2L}^c + h_{33}^u u_{3L} u_{3L}^c \\ &\quad + h_2^U u_{2L} U_L^c + h_3^U u_{3L} U_L^c) + \text{h.c.},\end{aligned}\quad (18)$$

which imply bare masses only for the top (u_3) and charm (u_2) quarks. By taking $v \simeq 174$ GeV and $h_{22}^u \approx h_{23}^u \approx h_{32}^u \approx h_{33}^u \sim 0.5$, we can obtain appropriate values for the masses of the top and charm quarks, the first one of the order of v and the second one proportional to v , but suppressed by the difference of Yukawa couplings $h_{22}^u h_{33}^u - h_{23}^u h_{32}^u$. So, for the up quark sector and at the tree level,

the up quark u_1 and the exotic $SU(2)_L$ singlet U remain massless, even though their right-handed components mix with the massive up type quarks. Later we will see how they can acquire proper masses; in special, how the singlet U may acquire a large mass and the ordinary u can acquire a small mass in the context of the superpotential given by (4).

For the down quark sector the third and four terms in the superpotential produce, when we take $\langle \tilde{L}_\tau \rangle = (0, 0, V)$, the following tree-level mass terms:

$$\begin{aligned} \mathcal{L}_Y^d = & V(h_{213}^d D_{2L} d_{1L}^c + h_{223}^d D_{2L} d_{2L}^c + h_{233}^d D_{2L} d_{3L}^c \\ & + h_{313}^d D_{3L} d_{1L}^c + h_{323}^d D_{3L} d_{2L}^c + h_{333}^d D_{3L} d_{3L}^c \\ & + h_{223}^D D_{2L} D_{2L}^c + h_{233}^D D_{2L} D_{3L}^c + h_{323}^D D_{3L} D_{2L}^c \\ & + h_{333}^D D_{3L} D_{3L}^c) + \text{h.c.}, \end{aligned} \quad (19)$$

which imply bare masses of the order of V for the two exotic down quarks and tree-level mixing of the two exotic down quarks with the right-handed components of the three ordinary down quarks. In what follows we are going to show how the ordinary down quarks can acquire proper mass values.

4.2.2 One loop radiative masses

Let us see how the quarks U , b (bottom) and s (strange) can get appropriate masses via one loop radiative corrections.

First, by using the Yukawa couplings in (4) and the parameters in V_{soft} in (11) we can draw the diagram in Fig. 2 which shows how the exotic quark U gets a radiative mass from the exotic down quark D_2 . Actually there is another diagram similar to the one in Fig. 2, where D_{3L} and D_{3L}^c replace D_{2L} and D_{2L}^c , respectively, and two more diagrams involving the squarks (leptoquarks) \tilde{D}_{iL} and \tilde{D}_{iL}^c , for $i = 2, 3$. Since $V \sim M_1 \sim M_2 = M_{\text{SUSY}}$ the four diagrams are of the same order of magnitude.

Even though Fig. 2 is a one-loop diagram, we can expect it to produce a mass value for the U quark larger than 174 GeV (the top quark mass) due to the fact that the mass generated is controlled by the three free, but large parameters m_{45}^2 , V and $|\mu|$, which are all at the TeV scale. In the appendix we show how a reasonable choice of the values of the parameters involved produces a large radiative mass for the exotic quark U .

In a similar way we show in Fig. 3 how the two heaviest ordinary down quarks b and s get one-loop radiative masses from the top quark. Because the top quark mass is of the order of $v \sim 174$ GeV, these masses are at least one order of magnitude smaller than the U quark mass, with the mass for the strange quark s suppressed by differences of Yukawa couplings.

Again there are four diagrams for each radiative mass, with the other three ones involving the charm quark c in the internal line, and the squarks t and \tilde{c} .

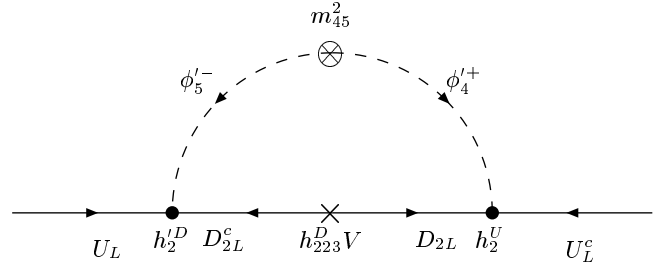
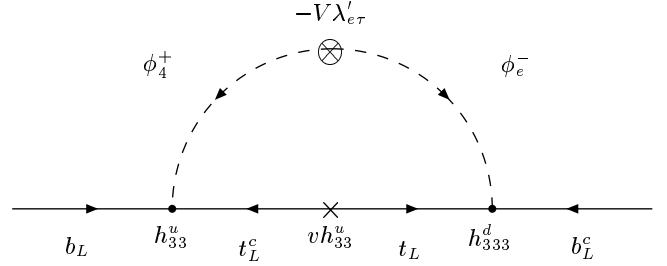
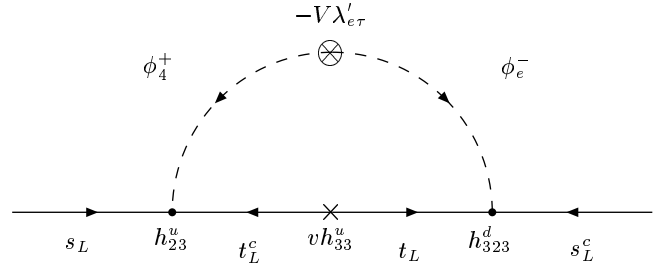


Fig. 2. One loop diagram contributing to the radiative generation of the exotic up quark mass



(a)



(b)

Fig. 3. One loop diagrams contributing to the radiative generation of the bottom b and strange s quark masses

4.2.3 Higher order radiative masses

Figures 4 and 5 show how the quarks in the first family acquire higher order radiative masses in the context of the superpotential in (4). As a matter of fact, Fig. 4 shows how the up quark u gets a second order radiative mass from the b quark (which already has a radiatively generated mass), and Fig. 5 shows how the ordinary down quark d acquires a mass via a triple mixing. Again, as before, the diagrams shown are not the only ones contributing to these masses, for example the fifth term in the superpotential gives a mass for the down quark of the form $h_1^d d_{1L} d_{1L}^c \langle \phi_5^0 \rangle$ via the radiatively induced VEV for ϕ_5^0 shown in Fig. 1.

4.3 Masses for neutralinos

The neutralino sector is the most sensitive to the particular values of the parameters, several of which are going to be fixed in this section by the use of experimental constraints.

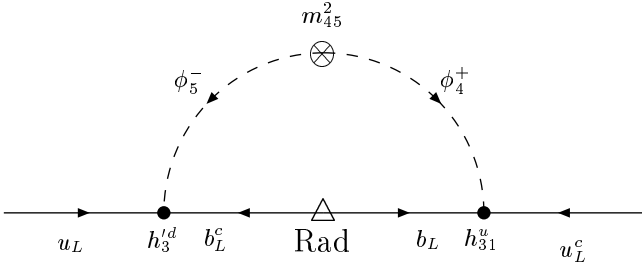


Fig. 4. A two-loop diagram contributing to the radiative generation of the up quark mass

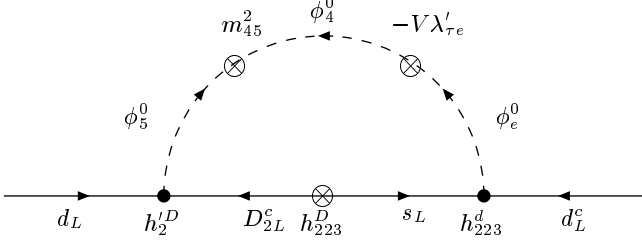


Fig. 5. A three-mixing diagram contributing to the radiative generation of the down quark mass

For this model the neutralinos are linear combinations of the eight neutral particles in L_α and L_η (for $\alpha = e, \mu, \tau$ and $\eta = 4, 5$), and of the five neutral gauginos. In the basis $\psi = (\nu_e, \nu_\mu, \nu_\tau, N_e^0, N_\mu^0, N_\tau^0, N_4^0, N_5^0, \tilde{B}^0, \tilde{A}_3^0, \tilde{A}_8^0, \tilde{K}^0, \tilde{\tilde{K}}^0)$, the tree-level mass matrix is given by

$$M = \begin{pmatrix} M_8 & M_{85} \\ M_{85}^T & M_5 \end{pmatrix}, \quad (20)$$

where

$$M_8 = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 & \lambda v/2 & \lambda V/2 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -\lambda v/2 & 0 & 0 & 0 & 0 \\ 0 & 0 & -\lambda v/2 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \lambda v/2 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ \lambda V/2 & 0 & 0 & 0 & 0 & 0 & 0 & \mu/2 \\ 0 & 0 & 0 & 0 & 0 & 0 & \mu/2 & 0 \end{pmatrix}, \quad (21)$$

$$M_{85} = \begin{pmatrix} 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & -g_3 V & 0 \\ 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \\ -\sqrt{2}g_1 V/3 & 0 & 2g_3 V/\sqrt{6} & 0 & 0 \\ 2\sqrt{2}g_1 v/3 & -g_3 v/\sqrt{2} & -g_3 v/\sqrt{6} & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 \end{pmatrix}, \quad (22)$$

and from the soft terms in the scalar potential we read $M_5 = \text{Diag}(M_1, M_2, M_2, A_{2 \times 2})$, where $A_{2 \times 2}$ is a 2×2

matrix with entries zero in the main diagonal and M_2 in the secondary diagonal.

This 13×13 mass matrix is controlled by the parameter λ in the sense that this parameter must be very small in order to have only three light states, with the rest of them having masses larger than half of the measured mass of the Z^0 neutral gauge boson. As a matter of fact, this mass matrix has two eigenvalues equal to zero, associated with a massless Dirac neutrino. Two more Dirac neutrinos are associated with the values λv and μ and there are seven Majorana masses different from zero, with only one of them of the see-saw type. By using $v = 0.174 \text{ TeV}$, $g_3 = 0.65$ and $g_1 = 0.38$, as imposed by the low energy phenomenology, we must tune the parameter λ to lie in the range $\lambda \sim 10^{-9}$ and use for the other parameters the optimal values $V \sim 2 \text{ TeV}$, $M_1 \sim M_2 \sim 1 \text{ TeV}$, and $|\mu| \approx 10 \text{ TeV}$ (as we will show shortly, $|\mu| \geq 10 \text{ TeV}$). With these values we obtain three light neutrinos: one Dirac neutrino with a mass of the order of eV, one see-saw Majorana neutrino with a mass of the order of the tenths of eV and a zero mass Dirac neutrino (the former without including radiative corrections which may introduce changes in this tree-level mass spectrum). All the remaining eigenvalues are above 500 GeV.

4.4 Masses for the scalar sector

For the scalars we have three sectors, one charged and two neutrals (one real and the other one imaginary) which do not mix, so we can consider them separately.

4.4.1 The charged scalar sector

For the charged scalars, in the basis $(\phi_e^-, \phi_\mu^-, \phi_\tau^-, \phi_e'^-, \phi_\mu'^-, \phi_\tau'^-, \phi_4^-, \phi_5^-, \phi_4'^-, \phi_5'^-)$ and after using (15) in the tree-level approximation, we get the squared mass matrix M_{cs} with the following non-zero entries:

$$(M_{cs})_{11} = \left(-|\lambda|^2 - \frac{g_3^2}{6} + \frac{g_1^2}{9} \right) V^2 + \left(\frac{g_3^2}{3} - \frac{2g_1^2}{9} \right) v^2 + m_{11}^2,$$

$$(M_{cs})_{22} = m_{22}^2 - \left(\frac{g_3^2}{6} - \frac{g_1^2}{9} \right) V^2 + \left(\frac{g_3^2}{3} - \frac{2g_1^2}{9} \right) v^2,$$

$$(M_{cs})_{33} = \left(|\lambda|^2 + \frac{g_3^2}{2} \right) v^2,$$

$$(M_{cs})_{44} = |h_{31}^e|^2 V^2,$$

$$(M_{cs})_{66} = |h_{33}^e|^2 V^2,$$

$$(M_{cs})_{88} = |\mu|^2 - \left(\frac{g_3^2}{6} - \frac{2g_1^2}{9} \right) V^2 - \left(\frac{g_3^2}{6} + \frac{4g_1^2}{9} \right) v^2 + m_5^2,$$

$$(M_{cs})_{99} = \left(|\lambda|^2 + \frac{g_3^2}{2} \right) V^2,$$

$$\begin{aligned}
 (M_{cs})_{1010} &= |\mu|^2 + \left(|h_{31}^e|^2 + |h_{33}^e|^2 + \frac{g_3^2}{3} + \frac{2g_1^2}{9} \right) V^2 \\
 &\quad - \left(\frac{g_3^2}{6} + \frac{4g_1^2}{9} \right) v^2 + m_5^2, \\
 (M_{cs})_{14} &= (M_{cs})_{41} = 2h_{11}^e \mu v, \\
 (M_{cs})_{16} &= (M_{cs})_{61} = h_{13}^e \mu v, \\
 (M_{cs})_{18} &= (M_{cs})_{81} = -\lambda \mu V, \\
 (M_{cs})_{34} &= (M_{cs})_{43} = h_{31}^e \mu v, \\
 (M_{cs})_{36} &= (M_{cs})_{63} = h_{33}^e \mu v, \\
 (M_{cs})_{39} &= (M_{cs})_{93} = \left(|\lambda|^2 + \frac{g_3^2}{2} \right) vV, \\
 (M_{cs})_{46} &= (M_{cs})_{64} = h_{31}^e h_{33}^e V^2, \\
 (M_{cs})_{48} &= (M_{cs})_{84} = \lambda h_{11}^e vV, \\
 (M_{cs})_{49} &= (M_{cs})_{94} = h_{31}^e \mu V, \\
 (M_{cs})_{410} &= (M_{cs})_{104} = \frac{1}{2} h_{13}^e V, \\
 (M_{cs})_{68} &= (M_{cs})_{86} = \lambda h_{13}^e vV, \\
 (M_{cs})_{69} &= (M_{cs})_{96} = h_{33}^e \mu V \\
 (M_{cs})_{610} &= (M_{cs})_{106} = \frac{1}{2} h_{33}^e V. \tag{23}
 \end{aligned}$$

This mass matrix has two zero eigenvalues which correspond to the four Goldstone bosons needed to give masses to the gauge bosons W^\pm and K^\pm . By using $h_{11}^e = h_{13}^e = h_{31}^e = h_{33}^e = 1$, $h_{13}^e = h_{33}^e = 1 \text{ GeV}$, $m_{11}^2 = m_{22}^2 = m_5^2 = -m_{33}^2 = 1 \text{ TeV}^2$, and with the numerical values for the other parameters as stated before, we obtain that all the non-zero eigenvalues are above 850 GeV and so, contrary to other models, there are no charged scalars at the electroweak scale in the model analyzed here, which is something expected due to the fact that the members of the isospin doublet in ϕ_4 , which are absorbed by W_μ^\pm , are the only charged scalars available at the electroweak scale. This result is in agreement with the so-called ‘‘extended survival hypothesis’’ [16] which consists in assuming that the components of the Higgs representations required for the breaking of a particular symmetry are the only ones that are not superheavy (‘‘scalar Higgs fields acquire the maximum mass compatible with the pattern of symmetry breaking’’ [16]).

4.4.2 The neutral scalar sector

For the neutral CP -even scalars, in the basis $(\phi_{eR}^0, \phi_{\mu R}^0, \phi_{\tau R}^0, \phi_{eR}^{\prime 0}, \phi_{\mu R}^{\prime 0}, \phi_{\tau R}^{\prime 0}, \phi_{4R}^0, \phi_{5R}^0)$ and after using (15) in the tree-level approximation, we get the squared mass matrix M_e with the following non-zero entries:

$$\begin{aligned}
 (M_e)_{11} &= \frac{1}{2} \left\{ m_{11}^2 - \left(\frac{g_3^2}{6} - \frac{g_1^2}{9} + |\lambda|^2 \right) V^2 \right. \\
 &\quad \left. - \left(\frac{g_3^2}{6} + \frac{2g_1^2}{9} + |\lambda|^2 \right) v^2 \right\}, \\
 (M_e)_{22} &= \frac{1}{2} \left\{ m_{22}^2 - \left(\frac{g_3^2}{6} - \frac{g_1^2}{9} \right) V^2 - \left(\frac{g_3^2}{6} + \frac{2g_1^2}{9} \right) v^2 \right\},
 \end{aligned}$$

$$\begin{aligned}
 (M_e)_{44} &= \frac{1}{2} (m_{11}^2 - m_{33}^2), \\
 (M_e)_{55} &= \frac{1}{2} \left\{ m_{22}^2 + \left(\frac{g_3^2}{3} + \frac{g_1^2}{9} \right) V^2 - \left(\frac{g_3^2}{6} + \frac{2g_1^2}{9} \right) v^2 \right\}, \\
 (M_e)_{66} &= \left(\frac{g_3^2}{3} + \frac{g_1^2}{9} \right) V^2, \\
 (M_e)_{77} &= \left(\frac{g_3^2}{3} + \frac{4g_1^2}{9} \right) v^2, \\
 (M_e)_{88} &= \frac{1}{2} \left\{ |\mu|^2 + m_5^2 - \left(\frac{g_3^2}{6} - \frac{2g_1^2}{9} \right) V^2 \right. \\
 &\quad \left. + \left(\frac{g_3^2}{3} - \frac{4g_1^2}{9} \right) v^2 \right\}, \\
 (M_e)_{18} &= (M_{ch})_{81} = \frac{\lambda}{2} \mu V, \\
 (M_e)_{67} &= (M_{ch})_{76} = - \left(|\lambda|^2 + \frac{g_3^2}{6} + \frac{2g_1^2}{9} \right) vV. \tag{24}
 \end{aligned}$$

The matrix M_e has one eigenvalue equal to zero, corresponding to one Goldstone boson. The non-zero eigenvalues, which can be calculated analytically, are

$$\begin{aligned}
 m_{e1}^2 &= (M_e)_{22}, \\
 m_{e2}^2 &= (M_e)_{44}, \\
 m_{e3}^2 &= (M_e)_{55}, \\
 m_{e4}^2 &= \frac{1}{2} \{ (M_e)_{11} + (M_e)_{88} \\
 &\quad + \sqrt{[(M_e)_{11} - (M_e)_{88}]^2 + 4[(M_e)_{18}]^2} \}, \\
 m_{e5}^2 &= \frac{1}{2} \{ (M_e)_{11} + (M_e)_{88} \\
 &\quad - \sqrt{[(M_e)_{11} - (M_e)_{88}]^2 + 4[(M_e)_{18}]^2} \}, \\
 m_{e6}^2 &= \frac{1}{2} \{ (M_e)_{66} + (M_e)_{77} \\
 &\quad + \sqrt{[(M_e)_{66} - (M_e)_{77}]^2 + 4[(M_e)_{67}]^2} \}, \\
 m_{e7}^2 &= \frac{1}{2} \{ (M_e)_{66} + (M_e)_{77} \\
 &\quad - \sqrt{[(M_e)_{66} - (M_e)_{77}]^2 + 4[(M_e)_{67}]^2} \}, \tag{25}
 \end{aligned}$$

where m_{e7} is associated with the lightest CP -even Higgs scalar h . By introducing the numerical values for the parameters as calculated in the previous sections, we obtain $m_{e7} = m_h \approx 85 \text{ GeV}$ which is a bit larger than the lowest bound on the lightest CP -even Higgs scalar in the MSSM [3]. All the remaining eigenvalues are above 750 GeV. Notice that the scalar h is a mixture of ϕ_{4R}^0 and $\phi_{\tau R}^0$, as it should be according to the ‘‘extended survival hypothesis’’ [16], and because of this it partially decouples from the Z^0 of the SM at high energies, since it is a mixture of a singlet and a doublet under $SU(2)_L$, with the singlet having an $U(1)_Y$ hypercharge equal to zero.

For the neutral CP -odd scalars, in the basis $(\phi_{eI}^0, \phi_{\mu I}^0, \phi_{\tau I}^0, \phi_{eI}^{\prime 0}, \phi_{\mu I}^{\prime 0}, \phi_{\tau I}^{\prime 0}, \phi_{4I}^0, \phi_{5I}^0)$ and after using (15) in the tree-level approximation, we get the squared mass matrix M_o

with the following non-zero entries:

$$\begin{aligned}
(M_o)_{11} &= (M_e)_{11}, \\
(M_o)_{22} &= (M_e)_{22}, \\
(M_o)_{44} &= (M_e)_{44}, \\
(M_o)_{55} &= (M_e)_{55}, \\
(M_o)_{88} &= (M_e)_{88}, \\
(M_o)_{18} &= (M_o)_{81} = (M_e)_{18}.
\end{aligned} \tag{26}$$

This mass matrix has three zero eigenvalues, which correspond to three additional Goldstone bosons. The five non-zero eigenvalues, at the tree level, are

$$\begin{aligned}
m_{o1}^2 &= m_{e1}^2, & m_{o2}^2 &= m_{e2}^2, \\
m_{o3}^2 &= m_{e3}^2, & m_{o4}^2 &= m_{e4}^2, \\
m_{o5}^2 &= m_{e5}^2,
\end{aligned} \tag{27}$$

equal to five of the eigenvalues in the real sector as a consequence of our assumption that there is no CP violation in the neutral scalar sector. Notice, by the way, that this model does not have a light pseudoscalar particle.

The four Goldstone bosons associated to the neutral scalar sector (one CP -even and three CP -odd) will provide masses for the gauge bosons K_μ^0 , \bar{K}_μ^0 , Z_μ^0 , and $Z_\mu^{\prime 0}$.

4.5 Masses for charginos

The charginos in the model are linear combinations of the ordinary charged leptons, the two exotic electrons and the two charged gauginos. In the gauge eigenstate basis $\psi^\pm = (e^+, \mu^+, \tau^+, E_4^+, E_5^+, \tilde{W}^+, \tilde{K}^+, e^-, \mu^-, \tau^-, E_4^-, E_5^-, \tilde{W}^-, \tilde{K}^-)$, the tree-level chargino mass terms in the Lagrangian are of the form $\psi^\pm M_{\text{ch}} \psi^\pm$ where

$$M_{\text{ch}} = \begin{pmatrix} 0 & M_7 \\ M_7^T & 0 \end{pmatrix}, \tag{28}$$

and

$$M_7 = \begin{pmatrix} 0 & 0 & 0 & 0 & h_{31}^e V & 0 & 0 \\ 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 & h_{33}^e V & 0 & 0 \\ -\lambda V & 0 & 0 & \mu & 0 & -g_3 v & 0 \\ 0 & 0 & 0 & 0 & \mu & 0 & -g_3 v \\ 0 & 0 & 0 & 0 & 0 & M_2 & 0 \\ 0 & 0 & -g_3 V & 0 & 0 & 0 & M_2 \end{pmatrix}. \tag{29}$$

This mass matrix has two eigenvalues of the order of the μ scale, and two other eigenvalues of the order of the SUSY scale ($M_2 \sim 1$ TeV), two eigenvalues equal to zero, and one see-saw eigenvalue of the order of $2M_2^2 v^2 / \mu^2$, which for $\mu \gg M_2 \sim V$ can account for the tau lepton mass. Notice that the tau mass is related to the mass parameter M_2 of the corresponding gaugino, but it is suppressed by the parameter μ . So, at the tree level only the electron e and the muon μ remain massless, but they pick up radiative

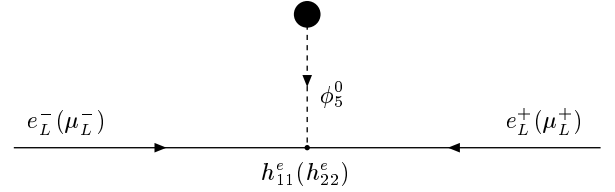


Fig. 6. Radiatively induced VEV contributing to the e and μ masses

masses. In fact, the seventh term in the superpotential produces immediately the diagram in Fig. 6 which shows how both, e^- and μ^- , get finite masses via the radiatively induced VEV for the scalar field ϕ_5^0 shown in Fig. 1. (This mechanism has been used in the literature in [17] in order to generate masses for charged fermions. See also [18].)

5 General remarks and conclusions

We have built the complete supersymmetric version of a 3-3-1 model for three families, the simplest one we have been able to imagine. Contrary to the MSSM which has two Higgs doublets at the electroweak energy scale, in this model there is only one $SU(2)_L$ Higgs doublet acquiring a non-zero VEV (the one associated to ϕ_4). So, the MSSM is not an effective theory of the model we have constructed.

For the model presented in this paper the slepton multiplets play the role of the Higgs scalars and no higgsinos are required, which implies a reduction of the number of parameters and degrees of freedom, compared to other 3-3-1 supersymmetric models in the literature [10].

For our analysis we have taken the simplest VEV possible, able to break the symmetry and give, at the same time, masses to the fermion fields in the model. The choice of this simple VEV structure was dictated not only by simplicity, but also by paying due attention to the general mass spectrum of the particles. The most general VEV structure for this model is of the form $\langle \phi_e \rangle = (0, v_e, V_e)$, $\langle \phi_\mu \rangle = (0, v_\mu, V_\mu)$, $\langle \phi_\tau \rangle = (0, v_\tau, V_\tau)$, $\langle \phi_4 \rangle = (v_4, 0, 0)$ and $\langle \phi_5 \rangle = (v_5, 0, 0)$, which, even when properly rotated ($v_e = V_\mu = 0$), gives a very messy scalar sector and can dramatically change the mass spectrum presented here. Obviously, there are in this general VEV structure a lot of free parameters to play with, some of them able to solve possible inconsistencies in the mass spectrum calculated.

There are in the model three mass scales: The electroweak scale $v \approx 174$ GeV (a value dictated by the weak W^\pm gauge boson mass), which is the same mass scale associated with the SM; the SUSY-(3-3-1) mass scale $M_1 \sim M_2 \sim V \sim 1$ –2 TeV, which is the same scale associated with the MSSM; and the μ scale which can be as large as the Planck scale, but whose value is fixed by demanding the tau lepton mass to lie in the range $10 \text{ TeV} \leq \mu \leq 100 \text{ TeV}$. As can be realized, for this model we have the same μ problem that is present in the MSSM, and it should find an explanation outside the context of the analysis presented here.

We have aligned the vacuum in the way enunciated in the main text, inspired in the non-SUSY model pre-

sented in Sect. 2. This alignment produces a consistent mass spectrum for quarks and charged leptons in the following way. First, the exotic down quarks and leptons get masses of the order of the SUSY scale; then the top and charm quarks get tree-level masses at the electroweak energy scale, with the mass for the charm quark suppressed by differences of Yukawa couplings. The exotic up quark U gets a one-loop radiative mass which, for specific values of the Yukawa couplings, can be made larger than 174 GeV, the top quark mass (see the appendix). The bottom quark and the strange quark get one loop radiative masses, with the mass of the strange quark suppressed by differences of Yukawa couplings; then the up quark and the down quark get higher order radiative masses. For the known charged leptons only the tau gets a tree-level mass at the electroweak scale, but suppressed by a see-saw mechanism, with the electron and muon acquiring loop masses via radiatively induced VEV. The analysis for the neutrino sector has not been completed yet, but the preliminary analysis presented in the main text does not show inconsistencies.

The vector-like structure $L_{4L} \oplus L_{5L}$, which seems irrelevant for the non-SUSY version of model because it does not contribute to the anomaly constraint equations, is mandatory in this SUSY version of the model because without its presence it is not possible to provide us with masses for the lepton sector.

The scalar sector of the model presented here is so rich that even with the simple VEV used, it is able to reproduce a consistent mass spectrum for the spin 1/2 particles by using different radiative mechanisms, some of them new. For example, the way how the mass for the down quark d is generated via a three-mixing loop diagram plus a radiatively induced VEV has not been used in the literature yet, as far as we know.

Even though the algebra involved in all the equations related with the scalar sector (Sects. 3 and 4) is quite tedious, the final results are simple, with mass matrices that admit analytic solutions and neat physical interpretations. This is just a byproduct of the Z_2 symmetry introduced in Sect. 3, whose reason of being is the suppression of proton decay, with its final form dictated by discrete anomaly cancellation constraint relationships, and by the mass spectrum for the lepton fields.

In the main text we have also calculated the mass value, at the tree level, of the lightest CP -even Higgs scalar h which is larger than the lowest bound on the lightest CP -even Higgs scalar in the MSSM, in spite of being a mixture between a member of a pure $SU(2)_L$ doublet ϕ_{4R}^0 and the singlet $\phi_{\tau R}^0$.

To conclude, let us say that we feel a little unpleasant with the small value $\lambda \sim 10^{-9}$, which seems unnatural and may require some sort of fine tuning. We can avoid this inconvenience by letting ϕ_5 , instead of ϕ_4 , to acquire the zero order VEV $(v, 0, 0)$. Then no tree-level mass terms for the neutrinos show up, but two of the neutrinos do acquire a two-loop radiative mass via a kind of Zee mechanism [19] as depicted in Fig. 7, which are two among other graphs, and show that the Zee mechanism is automatically present

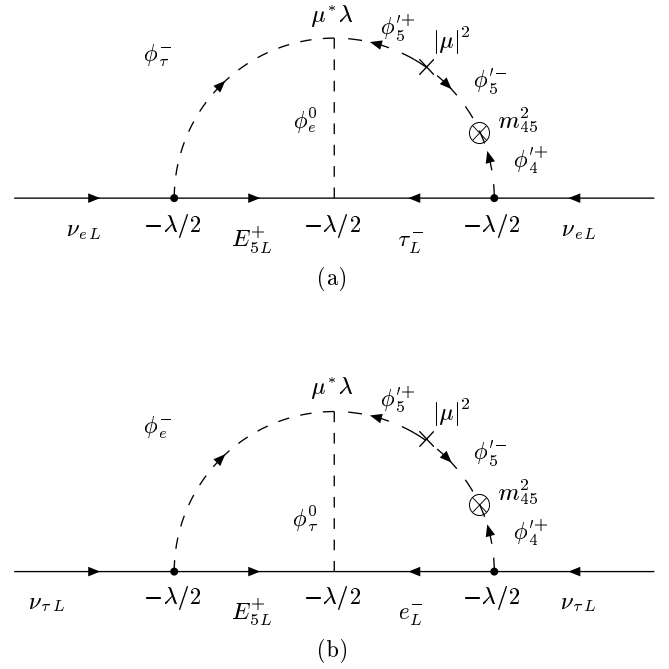


Fig. 7. Zee mechanism for neutrino masses

in the model discussed here. The price we have to pay if we want to use this mechanism in order to generate neutrino masses is the explanation of the mass spectrum for the entire up quark sector which must be generated via radiative corrections. In any case, the mass scale for the neutrinos is controlled by the parameter λ , which is not present in the quark sector of the model.

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Appendix

In this appendix we calculate the diagram in Fig. 2 and analyze its numerical value. The algebra shows that this diagram is finite and proportional to

$$\Delta = N[M^2 m_{c4}^2 \log(M^2/m_{c4}^2) - M^2 m_{c5}^2 \log(M^2/m_{c5}^2) + m_{c4}^2 m_{c5}^2 \log(m_{c4}^2/m_{c5}^2)], \quad (\text{A.1})$$

where $N = h_2^D h_2^U m_{45}^2 M / [16\pi^2 (m_{c5}^2 - m_{c4}^2)(M^2 - m_{c4}^2)(M^2 - m_{c5}^2)]$, $M = h_{223}^D V$ is the mass of the heavy exotic down quark D , and m_{c4} and m_{c5} are the masses of $\phi_4'^+$ and $\phi_5'^-$, respectively. To estimate a value for Δ we use the following values obtained in Sects. 4.3 and 4.4 of this paper: $m_{c4} \approx (M_{cs})_{99} \approx \sqrt{g_3^2/2}V$, $m_{c5} \approx (M_{cs})_{1010} \approx |\mu| \approx 10$ TeV, and $m_{45}^2 \approx -2\langle\phi_5^0\rangle|\mu|^2/v$. Notice that the value of Δ is a function of the dimensionless parameter $\langle\phi_5^0\rangle/v$ and of the Yukawa couplings h_{223}^D , h_2^D and h_2^U . We

are going to put the three Yukawa constants equal to a common value h . The point now is to assign values to h and also to the radiative correction $\langle\phi_5^0\rangle/v$ which, being a radiative correction to scalar masses in a supersymmetric model, can be large. Table 1 shows the numerical evaluation of Δ (the mass of the exotic quark U) as a function of these parameters.

Table 1. Radiative mass Δ for the exotic quark U

| $\langle\phi_5^0\rangle/v$ | h | Δ (GeV) |
|----------------------------|-----|----------------|
| 0.1 | 4.1 | 203.5 |
| 0.2 | 3.0 | 207.8 |
| 0.4 | 2.2 | 206.0 |
| 0.6 | 1.9 | 219.2 |

So, by a reasonable choice of the values of the parameters involved in the calculation of the diagram in Fig. 2, we can obtain a radiative mass for the exotic up quark U larger than the top quark mass.

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Note added in proof. After the completion of the first draft of this manuscript, we became aware of the existence of a related paper by J.C. Montero, V. Pleitez and M.C. Rodriguez entitled “Supersymmetric 3-3-1 model with right-handed neutrinos” [20]. Even though the gauge and quark sectors are the same in the two papers, they differ in the lepton and scalar sectors due to the fact that in our model we introduce the vector-like structure $L_{4L} \oplus L_{5L}$. As a consequence, and contrary to the Montero, Pleitez and Rodriguez analysis, we avoid the introduction of higgsinos in our study. Because of this the results are different in the two papers, conspicuously enough in the three scalar sectors.